

Week 9 - Friday

**COMP 4500**

# Last time

---

- What did we talk about last time?
- Subset sum
- Knapsack

# Questions?

# Assignment 5

# Logical warmup

- Consider the following sequence, which should be read from left to right, starting at the top row

1  
1 1  
2 1  
1 2 1 1  
1 1 1 2 2 1

- What are the next two rows in the sequence?

# Back to Knapsack

# Knapsack example

- Items ( $w_i, v_i$ ):
  - (7, 9)
  - (3, 4)
  - (2, 3)
  - (6, 2)
  - (4, 5)
  - (5, 7)
- Maximum weight: 10
- Create the table to find all of the optimal values that include items 1, 2, ...,  $i$  for every possible weight  $w$  up to 10

# Fill in the table

[illegible]



# Three-sentence Summary of Sequence Alignment

# Sequence Alignment

# Edit distance between strings

- "long jeverdy" → "longevity"
- What is the distance?
  - LONG JEV**E**RDY
  - LONG--EV**I**-**T**Y
- Or what if we want no mismatches?
  - LONG JEV-ERD-Y
  - LONG--EVI---TY

# Edit distance is important

- It can be used in a spell-checker (or auto-correct) to suggest similar words
- There are applications in DNA analysis:
  - How different is this sequence from that sequence?
- We want a general metric for handling both gaps and mismatches

# Alignment

- An alignment is a list of matches between characters in strings  $X$  and  $Y$  that doesn't cross
- Consider:
  - **stop-**
  - **-tops**
- This alignment is  $(2,1), (3,2), (4,3)$

# Alignment cost

- Some optimal alignment will have the lowest cost
- Cost:
  - Gap penalty  $\delta > 0$ , for every gap
  - Mismatch cost  $\alpha_{pq}$  for aligning  $p$  with  $q$ 
    - $\alpha_{pp}$  is presumably 0 but does not have to be
  - Total cost is the sum of the gap penalties and mismatch costs

# Designing the algorithm

- We always try to think backwards when doing dynamic programming
- Let strings  $X$  and  $Y$  have length  $m$  and  $n$ , respectively
- In the optimal alignment  $M$ , either characters  $m$  and  $n$  are matched, or they're not
- In other words, at least one of the following is true:
  1.  $(m, n)$  is in  $M$
  2. The  $m^{\text{th}}$  position of  $X$  is not matched
  3. The  $n^{\text{th}}$  position of  $Y$  is not matched

# Formulating the recurrence

- Let  $\text{OPT}(i, j)$  be the minimum cost of an alignment of the first  $i$  characters in  $X$  to the first  $j$  characters in  $Y$
- In case 1, we would have to pay a matching cost of matching the character at  $i$  to  $j$
- In cases 2 and 3, you will pay a gap penalty

$$\text{OPT}(i, j) = \min \begin{cases} \alpha_{x_i y_j} + \text{OPT}(i - 1, j - 1) \\ \delta + \text{OPT}(i - 1, j) \\ \delta + \text{OPT}(i, j - 1) \end{cases}$$



# Now what?

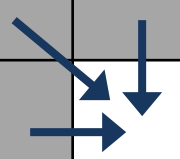
- We do our usual thing
- Build up a table of values with  $m + 1$  rows and  $n + 1$  columns
- In row 0, column  $j$  has value  $j\delta$  to build up strings from the empty string
- In column 0, row  $i$  has value  $i\delta$  to build up strings from the empty string
- The other entries  $(i, j)$  can be computed from  $(i - 1, j - 1)$ ,  $(i - 1, j)$ ,  $(i, j - 1)$

# Alignment( $X, Y$ )

- Create array  $A[o...m][o...n]$
- For  $i$  from 0 to  $m$ 
  - Set  $A[i][o] = i\delta$
- For  $j$  from 0 to  $n$ 
  - Set  $A[o][j] = j\delta$
- For  $i$  from 1 to  $m$ 
  - For  $j$  from 1 to  $n$ 
    - Set  $A[i][j] = \min(\alpha_{x_i y_j} + A[i-1][j-1], \delta + A[i-1][j], \delta + A[i][j-1])$
- Return  $A[m][n]$

# Table A of OPT values

|       |               |          |           |     |               |           |     |           |
|-------|---------------|----------|-----------|-----|---------------|-----------|-----|-----------|
| 0     | 0             | $\delta$ | $2\delta$ | ... | $(j-1)\delta$ | $j\delta$ | ... | $n\delta$ |
| 1     | $\delta$      |          |           |     |               |           |     |           |
| 2     | $2\delta$     |          |           |     |               |           |     |           |
|       | ...           |          |           |     |               |           |     |           |
| $i-1$ | $(i-1)\delta$ |          |           |     |               |           |     |           |
| $i$   | $i\delta$     |          |           |     |               |           |     |           |
|       | ...           |          |           |     |               |           |     |           |
| $m$   | $m\delta$     |          |           |     |               |           |     |           |
|       | 0             | 1        | 2         | ... | $j-1$         | $j$       | ... | $n$       |



# Reconstructing and run-time

- As before, we can trace back through the table and find the changes, insertions, and deletes
- The running time is  $O(mn)$  because the table is  $O(mn)$  and we spend constant time on each entry
- Because we only need the previous (and current) row, we can reduce the space to  $O(n)$ , but then reconstructing the solution becomes tricky
  - The book explains how such an algorithm can be done, but we won't focus on it

# Sequence alignment example

- Find the minimum cost to align:
  - "anguished"
  - "language"
- The cost of an insertion (or deletion)  $\delta$  is 1
- The cost of replacing any letter with a different letter is 1
- The cost of "replacing" any letter with itself is 0

# Fill in the table

|   |   | a | n | g | u | i | s | h | e | d |
|---|---|---|---|---|---|---|---|---|---|---|
|   | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| l | 1 |   |   |   |   |   |   |   |   |   |
| a | 2 |   |   |   |   |   |   |   |   |   |
| n | 3 |   |   |   |   |   |   |   |   |   |
| g | 4 |   |   |   |   |   |   |   |   |   |
| u | 5 |   |   |   |   |   |   |   |   |   |
| a | 6 |   |   |   |   |   |   |   |   |   |
| g | 7 |   |   |   |   |   |   |   |   |   |
| e | 8 |   |   |   |   |   |   |   |   |   |

# Quiz

# Upcoming



# Next time...

---

- Maximum-flow problem
- Minimum cuts

# Reminders

---

- Work on Homework 5
- Read sections 7.1 and 7.2